576/ PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Esch, Brady D.; Macoviak, John A.

Application No.:

10/077,173

Filed:

February 15, 2002

For:

FLOW-THROUGH AORTIC FLOW DIVIDER FOR CEREBRAL AND

CORONARY EMBOLIC PROTECTION

Commissioner for Patents Washington, D.C. 20231

JUN 0 3 2002 W

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

Date: \$ (21/02

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JUN - 6 2002

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

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Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office Action-page 1 of 1

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^{*} Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

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Practitioner's Docket No. CARDE.59561

PATENT

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INFORMATION DISCLOSURE STATEMENT

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Karon Earl
Signature

Karen Earl

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Practitioner's Docket No. CARDE.59561

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

- 1. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
- 2. Copies of Listed Information Items Accompanying This Statement
- 3. Identification of Person(s) Making This Information Disclosure Statement
- Section 1. Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)

(Insert form(s) PTO/SB/08A [Form 6-2] and/or form(s) PTO/SB/08B [Form 6-2.1].)

Section 2. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

Section 3. Identification of Person Making This Information Disclosure Statement

The person making this certification is:

a. the practitioner who signs below on the basis of the information in the practitioner's file.

Date: 5/11/02

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PTO/SB/08A (10-01)

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Substitute for form 1449APTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Complete if Known		
Application Number	10/077.173	
Filing Date	02/15/2002	
First Named Inventor	Brady D. Esch	
Art Unit		
Examiner Name		
Attorney Docket Number	CARDE.59561	

			U.S. PAT	ENT DOCUMENTS	
Examiner Initials*		Document Number Number - Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	us-5,906,588		Safar et al.	
	2	us-6,139,517	10/31/2000	Macoviak et al.	
	3	us-6,258,120		McKenzie et al.	
	4	us-6,371,935	04/16/2002	Macoviak et al.	
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	FOREIGN PATENT DOCUMENTS					
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